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## TANTALA DOPED WAVEGUIDE AND METHOD OF MANUFACTURE

### FIELD OF THE INVENTION

5           The present invention relates generally to optical waveguide glass having a high index of refraction and a method for manufacturing such optical waveguide glass, and more particularly to a method of doping optical waveguide glass with  $Ta_2O_5$  to produce essentially crystalline free optical waveguide fiber.

10           While the invention is capable of being carried out using a number of soot collection and doping techniques, it is particularly well suited for use in conjunction with the outside vapor deposition (OVD) process, and will be particularly described in that regard.

### BACKGROUND OF THE INVENTION

15           In the rapidly expanding field of telecommunications, there is an ever-increasing demand for systems that transfer greater amounts of data in shorter periods of time. Accordingly, in the opto-electronics field, there is a continuing  
20           need for new optical waveguide systems, and consequently new optical waveguides and new optical waveguide components for meeting the demands of those systems.

Generally speaking, optical waveguide fibers include a core surrounded by a cladding material having a refractive index lower than that of the core. Such optical waveguide fibers are generally composed of silica that is selectively doped with a dopant such as germanium. Although germanium is the principal and most widely used dopant, other dopants such as phosphorous, fluorine, boron and erbium, to name a few, are often used. Germania, however, is most commonly used due to its low melting point and high refractive index in relation to silica.

All dopants, including germania have shortcomings that limit their usefulness to certain applications. Accordingly, as technology improves and the requirements for new applications increases, the requirement for new optical waveguide fiber capable of meeting the demands of these applications increases as well. Such needs provide the incentive to consider the application of new dopants and new methods of doping optical waveguide fibers to meet these demands. In addition, competition is continually driving researchers to develop optical waveguide fibers at lower cost. Because germania costs approximately \$1,000 per kilogram, a less expensive dopant capable of providing a higher index of refraction than germania with less of that alternative dopant would be ideal.

One such dopant known to have a high refractive index is tantala. In fact,  $Ta_2O_5$  thin films are widely used in thin-film waveguide lenses and anti-reflective coatings for silicon wafer solar cells. Because of the attractiveness of  $Ta_2O_5$ , thin films for integrated optical devices, many researchers have been active in this area. Thin films for integrated optical devices containing  $Ta_2O_5$  are typically fabricated using sputtering techniques and result in measurable losses of about 0.4 dB/cm. In the field of thin-films it is believed that a contributing factor to such high losses is the subsequent heat treatment of thin-films following sputtering. It was found that the heat treatment caused the film to change from amorphous to crystalline. Such a defect, if formed in an optical waveguide fiber, would adversely affect that optical waveguide fiber operating properties and would render the fiber non-functional in an optical waveguide fiber system.

Planar devices have also been fabricated using Ta<sub>2</sub>O<sub>5</sub>. Ta<sub>2</sub>O<sub>5</sub>-SiO<sub>2</sub> core glass for such devices is laid down using an electron beam vapor deposition technique. However, the lowest loss observed for such devices has been approximately 0.15 dB/cm or 15,000 dB/km. For optical waveguide fiber, losses of less than approximately 1 dB/km is the target. Thus, neither the thin-films nor the planar optical devices suggest the usefulness of tantala doped silica for optical waveguide fibers.

In view of the foregoing, there is a need for a dopant that, in limited quantities, is capable of providing a high core index of refraction to an optical waveguide fiber. In addition, there exists a need for a dopant that has good non-linear properties, does not adversely impact the mechanical properties of the optical waveguide fiber in which it resides, and exhibits beneficial amplification characteristics. Moreover, there is a need for a method of providing the dopant to an optical waveguide fiber with minimal deviation from present optical fiber manufacturing techniques, thus making it economically feasible and desirable. The low cost of tantala compared to germania, as well as tantala's high index of refraction makes it a promising candidate for such a dopant.

#### SUMMARY OF THE INVENTION

One aspect of the present invention relates to a method of manufacturing a low loss optical waveguide having a high refractive index core by forming a soot blank which includes Ta<sub>2</sub>O<sub>5</sub> and SiO<sub>2</sub>, consolidating the soot blank to form a cane under conditions suitable to prevent crystallization of the Ta<sub>2</sub>O<sub>5</sub>- SiO<sub>2</sub> containing glass and drawing the cane into an optical fiber.

In another aspect, the invention relates to an optical fiber that is manufactured by preparing a soot blank which includes at least Ta<sub>2</sub>O<sub>5</sub> and SiO<sub>2</sub>, consolidating the soot blank to form a cane under conditions suitable to prevent crystallization of the Ta<sub>2</sub>O<sub>5</sub>- SiO<sub>2</sub> containing glass and drawing the cane into an optical fiber.

A further aspect of the invention relates to an optical fiber having a high purity glass cladding, and a high refractive index glass core bounded by the cladding. The glass core includes between about 2 to 5 wt%  $\text{Ta}_2\text{O}_5$ , so that light attenuation in the optical fiber is less than about 1.8 dB/km at 1550 nm.

5 Yet another aspect of the invention relates to a glass for use in the core of the optical waveguide that includes  $\text{SiO}_2$  and, by weight on an oxide basis, between about 2% non-crystallized  $\text{Ta}_2\text{O}_5$ , to 5% non-crystallized  $\text{Ta}_2\text{O}_5$  after consolidation.

10 The glass and method of the present invention results in a number of advantages over other glasses and methods known in the art. One of the most attractive features of using tantala in the glass for the present invention is its high index of refraction, which is reported to be 2.2 at 632.8 nm. Accordingly, in the glass of the present invention, the same refractive index change can be achieved with a much lower addition of  $\text{Ta}_2\text{O}_5$  than can be achieved with  $\text{GeO}_2$ .

15 Moreover, because tantala is far less expensive than germania, there is a significant cost savings resulting from the selection of tantala as a dopant.

20 Another advantage is the high viscosity of  $\text{Ta}_2\text{O}_5$ - $\text{SiO}_2$  glass, which is a function of the high melting point of tantala.  $\text{Ta}_2\text{O}_5$  has a melting point of  $1887^\circ\text{C}$  while  $\text{SiO}_2$  and  $\text{GeO}_2$  have melting points of  $1715^\circ\text{C}$  and  $1116^\circ\text{C}$ , respectively. Accordingly, the high viscosity of tantala silicate glass makes the glass of the present invention a likely candidate for viscosity matching.

25 Additional advantages of the present invention are that tantalum oxide is chemically stable and insoluble in water, the thermal expansion of glass containing tantala is lower than that of glass containing germania, and the method of the present invention essentially eliminates crystallization within the  $\text{Ta}_2\text{O}_5$ - $\text{SiO}_2$  containing glass during the manufacture of optical waveguides. The latter advantage results in improved optical characteristics.

30 Additional features and advantages of the invention will be set forth in the detailed description which follows, and in part will be readily apparent to those skilled in the art from the description or recognized by practicing the invention as described in the written description and claims hereof, as well as the appended drawings.

It is to be understood that both the foregoing general description and the following detailed description are merely exemplary of the invention and are intended to provide an overview or framework to understanding the nature and character of the invention as it is claimed.

5       The accompanying drawings are included to provide a further understanding of the invention and are incorporated in and constitute a part of this specification. The drawings illustrate one or more embodiments of the invention, and together with the description serve to explain the principles and operation of the invention.

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### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a perspective view of an optical fiber manufactured in accordance with the present invention.

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Fig. 2 is a cross-section view of the optical fiber of Fig. 1 taken through line 2-2 in Fig. 1.

Fig. 3 is a cross-section view of a  $\text{Cl}_2$  reactor of the present invention.

Fig. 4 is a schematic view of a vapor delivery system shown forming a soot blank in accordance with the present invention.

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Fig. 5 is a schematic view of a first preferred embodiment of a consolidation furnace of the present invention taken in cross-section.

Fig. 6 is a schematic view of a second preferred embodiment of a consolidation furnace of the present invention taken in cross-section.

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Fig. 7 is a photomicrograph of a  $\text{Ta}_2\text{O}_5$  doped core glass consolidated at  $1450^\circ\text{C}$  in a helium atmosphere.

Fig. 8 is a photomicrograph of a  $\text{Ta}_2\text{O}_5$  doped core glass consolidated at  $1450^\circ\text{C}$  in a helium atmosphere.

Fig. 9 is a photomicrograph showing the core-clad interface of  $\text{Ta}_2\text{O}_5$  doped glass consolidated at  $1450^\circ\text{C}$  in a helium atmosphere.

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Fig. 10 is a photomicrograph of a  $\text{Ta}_2\text{O}_5$  doped core glass consolidated at  $1550^\circ\text{C}$  in a helium atmosphere.

Fig. 11 is a photomicrograph of a  $\text{Ta}_2\text{O}_5$  doped core glass consolidated at 1550°C in a helium atmosphere.

Fig. 12 is a photomicrograph of a  $\text{Ta}_2\text{O}_5$  doped core glass consolidated at 1550°C in a helium atmosphere.

5 Fig. 13 is a photomicrograph of a  $\text{Ta}_2\text{O}_5$  doped core glass consolidated at 1450°C in a vacuum atmosphere.

Fig. 14 is a photomicrograph of a  $\text{Ta}_2\text{O}_5$  doped core glass consolidated at 1550°C in a vacuum atmosphere.

10 Fig. 15 is a photomicrograph of a  $\text{Ta}_2\text{O}_5$  doped core glass consolidated at 1650°C in a vacuum atmosphere.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

15 The present invention expressly contemplates the manufacture of single-mode optical waveguide fibers, multimode optical waveguide fibers, and planar waveguides regardless of any specific description, drawings, or examples set out herein. In addition, it is anticipated that the present invention can be practiced in conjunction with any of the known optical waveguide processing techniques, including, but not limited to, the outside vapor  
20 deposition (OVD) technique, the modified chemical vapor deposition (MCVD) technique, the vertical axial deposition (VAD) technique, the plasma chemical vapor deposition (PCVD) technique, and sol-gel techniques, to name a few. However, for the purposes of this specification, the tantala silicate soot and blanks described herein and shown in the accompanying drawing figures are  
25 described as being manufactured using the OVD technique.

Reference will now be made in detail to the present preferred  
embodiments of the invention, examples of which are illustrated in the  
accompanying drawings. Wherever possible, the same reference characters  
will be used throughout the drawings to refer to the same or like parts. An  
30 exemplary embodiment of the optical waveguide of the present invention is shown in Fig. 1, and is designated generally throughout by reference character  
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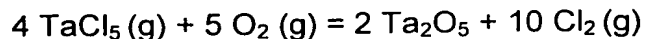
In accordance with the invention, the present invention for an optical waveguide fiber 20 includes a high purity glass cladding 22 and a high refractive index glass core 24 bonded by the cladding 22. As embodied herein, and depicted in Figs. 1 and 2, high purity glass cladding 22 is predominantly silica, and core 24 includes silica doped with tantalum in the desired proportions.

Optical waveguide fiber 20 having between about 2 to 5 wt% non-crystalline Ta<sub>2</sub>O<sub>5</sub> after consolidation has been demonstrated to exhibit a loss of less than about 1.8 dB/km at 1550 nm. In a preferred embodiment, light attenuation in optical waveguide fiber 20 is less than 0.25 dB/km at 1550 nm.

A preferred embodiment of the method of manufacturing a low-loss optical waveguide having a high refractive index core includes the steps of forming a soot blank which includes Ta<sub>2</sub>O<sub>5</sub> and SiO<sub>2</sub>, consolidating the soot blank to form a cane under conditions suitable to prevent crystallization of the Ta<sub>2</sub>O<sub>5</sub>, and drawing the cane into an optical fiber. The Ta<sub>2</sub>O<sub>5</sub> can be delivered using chemical vapor deposition techniques known in the art or via liquid delivery. The SiO<sub>2</sub> can similarly be delivered using known chemical vapor deposition techniques or liquid delivery.

An exemplary embodiment of a reactor for use with the chemical vapor deposition technique is shown in Fig. 3. Reactor 26 includes a diffuser 28, a preheat zone 30, and a reaction zone 32. In operation, fragments of tantalum 34 are packed within the preheat zone 30 of reactor 26 and chlorine (Cl<sub>2</sub>) gas is flowed through diffuser 28 and over the fragments of tantalum 34 within reactor 26. Reactor 26 includes two separate heater coils (not shown) for the preheat zone 30 and reaction zone 32. When the heat in the reaction zone is 350°C or greater, a sufficient quantity of TaCl<sub>5</sub> gas is formed in reactor 26 to provide a desired amount of Ta<sub>2</sub>O<sub>5</sub> in the soot.

As shown schematically in Fig. 4, TaCl<sub>5</sub> gas is delivered from vapor delivery system 36 to a burner assembly 38. The TaCl<sub>5</sub> is converted to Ta<sub>2</sub>O<sub>5</sub> in the burner flame 40 according to the following reaction:



Finely divided amorphous  $Ta_2O_5$  containing soot 42 is thereafter projected from the flame for capture and further processing. In a preferred embodiment, soot 42 is captured on a rotating mandrel 46 to form a soot blank 44. The amount of  $Ta_2O_5$  captured on soot blank 44 is determined by the number of lateral  
5 passes made by burner assembly 38 along the length of soot blank 44, as well as the flow rate of  $Cl_2$  through reactor 26.

The consolidation furnaces used for consolidating germania silicate blanks manufactured using OVD techniques typically provide temperatures of between  $1000^{\circ}C$  and  $1450^{\circ}C$ . Through experimentation, it has been found  
10 that such furnaces do not provide the heat necessary to perform the consolidation step without crystallization in the  $Ta_2O_5$ - $SiO_2$  containing glass as required for the present invention. Accordingly, improved consolidation furnaces capable of achieving temperatures in excess of  $1450^{\circ}C$  are needed for the present invention. The preferred embodiments of such consolidation  
15 furnaces are shown schematically in Figs. 5 and 6.

Fig. 5 depicts a first preferred embodiment of the consolidation step of the method of manufacturing a low loss optical waveguide having a high refractory index core. Soot blank 44 is held within consolidation furnace 48 where it is exposed to a gas 50. Gases such as, but not limited to, chlorine,  
20 helium, and oxygen, or combinations thereof, are delivered into consolidation furnace 48 to form the atmosphere 52 therein. Presently, the preferred gas, helium, is flowed across soot blank 44 while temperatures within consolidation furnace 48 are preferably elevated to  $1600^{\circ}C$  or greater. These conditions are maintained within consolidation furnace 48 until the  $Ta_2O_5$ - $SiO_2$  core glass  
25 temperatures are maintained at  $1600^{\circ}C$  or higher for a suitable time to sinter and vitrify the glass. After taking the additional processing steps commonly known to those skilled in the art in optical fiber manufacture, the resulting cane is drawn into an optical fiber. It is anticipated that an optical fiber manufactured from a  $SiO_2$  soot blank containing 2 to 5 wt%  $Ta_2O_5$ , and heat treated to a  
30 temperature of  $1600^{\circ}C$  or higher in a flowing helium atmosphere will have an attenuation of less than about 0.25 dB/km at 1550 nm. In a preferred embodiment, the temperature range is approximately  $1600^{\circ}C$  to  $1700^{\circ}C$ .



Fig. 6 depicts a second preferred embodiment of consolidation furnace 48 shown supporting soot blank 44. In this embodiment of the present invention, soot blank 44 is heated within a vacuum atmosphere. As used herein, the phrase "vacuum atmosphere" means an atmosphere less than atmospheric pressure. As depicted in Fig. 6, a pump 56 or other pressure-reducing device, removes the air from within consolidation furnace 48, thereby decreasing the pressure therein. As a result, soot blank 44 can be heat treated at temperatures lower than 1600°C to sinter and vitrify soot blank 44. Typically, soot blank 44 is heated to a temperature between 1500°C and 1600°C in a vacuum atmosphere so that the Ta<sub>2</sub>O<sub>5</sub>-SiO<sub>2</sub> core glass temperatures reach between 1500°C and 1600°C for a sufficient time to result in clear glass which is substantially free of crystals. In a preferred embodiment, the vacuum atmosphere 54 within consolidation furnace 48, exhibits a pressure of less than about 10<sup>-4</sup> torr. Following the additional processing steps commonly known to those skilled in the art of optical fiber manufacture, the resulting cane is drawn into an optical fiber. An optical fiber manufactured from a soot blank 44 containing SiO<sub>2</sub> and about 2 to 5 wt% Ta<sub>2</sub>O<sub>5</sub>, and heat treated at temperatures ranging between 1500°C and 1600°C in a vacuum atmosphere having a pressure of less than 10<sup>-4</sup> torr is expected to exhibit attenuation of less than about 0.25 dB/km at 1550 nm.

A significant advantage of the method of the present invention is the crystalline free consolidation of Ta<sub>2</sub>O<sub>5</sub> containing soot blanks. The following examples illustrate the effectiveness of the method of the present invention.

#### Example 1

A core blank was made by depositing 100 passes of Ta<sub>2</sub>O<sub>5</sub>-SiO<sub>2</sub> at an analyzed chemical wt% of 5.55 Ta<sub>2</sub>O<sub>5</sub>, followed by 177 passes of SiO<sub>2</sub>. The resulting soot preform specimen was cut into cross-sectional slices approximately 25 millimeters long and approximately 50 to 60 millimeters in diameter. Samples were then fired at a temperature of 1450°C in flowing helium as shown in Figs. 7-9. The scanning electron micrographs (SEMs) of

the core material (Figs. 7 and 8) and the core material below the core-clad interface (Fig. 9) show that crystallization is prevalent in the  $\text{Ta}_2\text{O}_5\text{-SiO}_2$  containing glass. As shown clearly in the fiber section 60 of FIG. 9, the silica cladding 62 is easily distinguished from the  $\text{Ta}_2\text{O}_5\text{-SiO}_2$  containing core 64 as the cladding 62 has consolidated to a clear, amorphous glass. A core-clad interface region 66 is clearly visible between the cladding 62 and core 64.

### Example 2

Additional slices of the soot preform specimen described above with respect to Example 1 were heated to  $1550^\circ\text{C}$  under a flowing helium atmosphere. The results of this experiment are shown in Figs. 10 and 11. The SEM's again show that the  $\text{Ta}_2\text{O}_5$  containing core glass depicted in Figs. 10 and 11 contained numerous crystals. In fact, crystallization is so prevalent that increasing the temperature by approximately  $100^\circ\text{C}$  does not appear to reduce crystallization as compared to Example 1.

### Example 3

An additional slice from the soot preform specimen described in Example 1 above was heat treated in a flowing helium atmosphere to a temperature of  $1650^\circ\text{C}$ . As shown in the SEM of Fig. 12, the core sample consolidated to a clear glass having no apparent crystallization.

### Example 4

Additional slices of the soot preform specimen described in Example 1 were also fired at temperatures of  $1450^\circ\text{C}$ ,  $1550^\circ\text{C}$  and  $1650^\circ\text{C}$  in a vacuum atmosphere of  $1 \times 10^{-4}$  torr. As seen in Fig. 13, the SEM shows that crystallization is present in the  $\text{Ta}_2\text{O}_5$  containing core glass after heat treatment

at 1450°C. However, at treatment temperatures of 1550°C and 1650°C, as shown in the SEM's of Figs. 14 and 15, respectively, no crystallization occurs in the Ta<sub>2</sub>O<sub>5</sub>-SiO<sub>2</sub> core glass.

5 To permit other testing, single-mode step index optical fibers were drawn from other core blanks prepared in a manner substantially similar to that described above with respect to examples 1 – 4. The %Δ, and attenuation for fibers containing different amounts of Ta<sub>2</sub>O<sub>5</sub> by weight percent are shown below in Table 1.

Table I

Results for Single Mode Fibers with Tantalum Silicate Core

| <u>Sample #</u> | <u>Wt%</u><br><u>Ta<sub>2</sub>O<sub>5</sub></u> | <u>Delta (%)</u> | <u>Attenuation</u><br><u>@ 1310 nm</u> | <u>Attenuation</u><br><u>@ 1380 nm</u> | <u>Attenuation</u><br><u>@ 1550 nm</u> |
|-----------------|--|------------------|--|--|--|
| 1               | 2.0  | 0.25             | 15.6                                   | 29.5                                   | 4.3                                    |
| 2               | 2.0  | 0.25             | 33.3                                   | 40.6                                   | 12.4                                   |
| 3               | 2.0  | 0.25             | 26.7                                   | 38.8                                   | 11.3                                   |
| 4               | 2.9  | 0.31             | 3.6                                    | 16.4                                   | 2.25                                   |
| 5               | 2.9  | 0.30             | 2.89                                   | 7.26                                   | 1.73                                   |
| 6               | 3.1  | 0.34             | 4.3                                    | 21.5                                   | 2.21                                   |
| 7               | 4.5  | 0.50             | 212.7                                  | 175.2                                  | 82.4                                   |

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The consolidation furnace used to heat treat the fibers listed in Table I were standard furnaces commonly used to consolidate GeO<sub>2</sub>-SiO<sub>2</sub> optical fiber preforms. Accordingly, the maximum temperature available for consolidation was 1450°C. Thus, the maximum temperature of 1450°C was used to consolidate each of the core blanks listed in Table I above. The lowest loss attained was for the core blank having 2.9 wt% Ta<sub>2</sub>O<sub>5</sub>. At 1550 nm the attenuation was 1.73 dB/km. These results confirm the importance of using consolidation temperatures higher than 1450°C for Ta<sub>2</sub>O<sub>5</sub>-SiO<sub>2</sub> containing optical fibers. Based upon this information and the experiments described above in Examples 1 through 4, it is anticipated that Ta<sub>2</sub>O<sub>5</sub>-SiO<sub>2</sub> containing optical fibers will exhibit losses of less than about 0.25 dB/km at 1550 nm when the soot blanks corresponding to these fibers are consolidated in consolidation furnaces capable of sustaining temperatures greater than 1500°C.

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